



**PATENT** 

Client-Matter No.: CROL-156

(056290.0103)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re	<b>Application of:</b>	Kevin R. Stone	)	Group Art Unit: 1653
Seria	l No.:	10/722,869	)	Examiner: Mayer, Suzanne Marie
Filed	•	November 26, 2003	)	
For:	<b>SUBSTANTIAI</b>	LLY NON-IMMUNOGENIC	)	
	INJECTABLE (	COLLAGEN	)	

## **CERTIFICATE OF MAILING (37 C.F.R. § 1.8)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to MAIL STOP PETITION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the

date indicated below.

Date: 3/23/55

Angelo J. Mignanelli

### MAIL STOP PETITION

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

## TRANSMITTAL LETTER

Enclosed herewith for filing in connection with the above-identified patent application are the following:

- 1. Petition Pursuant to 37 C.F.R. § 1.78 to Accept an Unintentional Delay for Claim of Priority Under 35 U.S.C. §§ 1.19(e) and 120;
- 2. Supplemental Amendment;
- Copy of the Utility Patent Application Transmittal (Small Entity) filed on November 26, 2003;
  - 4. A copy of the Official Filing Receipt mailed on March 10, 2004; and
  - 5. Return Postcard.

The PTO did not receive the following listed Item(s). Petition would 37 C. F. R.
1.78

Kevin R. Stone U.S.S.N. 10/722,869 March 23, 2005 Page 2 of 2

The Commissioner is authorized to charge any additional fees or credit any overpayment to Deposit Account No. 50-1133.

Respectfully submitted,

Simona A. Levi-Minzi, Ph.D.

Reg. No. 43,750

Attorney for Applicant

McDermott Will & Emery LLP 28 State Street Boston MA 02109-1775 Tel (617) 535-4065 Fax (617) 535-3800

Date: March 23, 2005

MIA 294171-1.056328.0034

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Alexandra, Viginia 22313-1450
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APPL NO.

**FILING OR 371** (c) DATE

FIL FEE REC'D ART UNIT

DOCKET NO

**DRAWINGS** 

IND CLMS TOT CLMS

3

10/722.869

11/26/2003

1653

CROL-156 (56290-103)

23

**CONFIRMATION NO. 8648** 

Mark G. Lappin McDermott, Will & Emery 28 State Street Boston, MA 02109

DOCKET

412

FILING RECEIPT OC0000000120698191

Date Mailed: 03/10/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Kevin R. Stone, Mill Valley, CA;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/429,078 11/26/2002

Foreign Applications

If Required, Foreign Filing License Granted: 03/10/2004

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Substantially non-immunogenic injectable collagen

**Preliminary Class** 



# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

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COPY

TILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. CROL-156 (56290-103)

Total Pages in this Submission

Only for new nonprovisional applications under 37 CFR 1.53(b))

## TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450



Substantially Non-Immunogenic Injectable Collagen	
	·
And invented by:  Kevin R. Stone	
If-a CONTINUATION APPLICATION, check appropriate box and supply the requisite information	
Gentinuation Divisional Continuation-in-part (CIP) of prior application N	o.: 60/429,078
Which is a: Claims Priority to	filed 11/26/0
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application Not Which is a:	0.:
☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No	0.:
Enclosed are:	
Application Elements	
1. 🗵 Filing fee as calculated and transmitted as described below	
2. Specification having pages and including the following:	
a. 🗵 Descriptive Title of the Invention	
b. Cross References to Related Applications (if applicable)	
c.   Statement Regarding Federally-sponsored Research/Development (if applicable	e)
d. Reference to Sequence Listing, a Table, or a Computer Program Listing Appen	dix
e. 🗵 Background of the Invention	·
f. 🗵 Brief Summary of the Invention	
g.   Brief Description of the Drawings (if filed)	
h. ⊠ Detailed Description	•
i. 🗵 Claim(s) as Classified Below	
j. 🗵 Abstract of the Disclosure	



## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. CROL-156 (56290-103)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

Application Elements (Continued)								
. 3.		Draw	ing(s) (when	necessary as prescribe	ed by 35 USC 113)			
	a	. 🗆 1	Formal	Number of Sheets				
	b.	. 🗆 🗵	Informal	Number of Sheets				
4.	$\boxtimes$	Oath	or Declaratio	n <sup>'</sup>				
	a.		Newly execut	ed (original or copy)	☑ Unexecuted			
	b.		Copy from a p	orior application (37 CF	R 1.63(d)) (for continuation/divisional application only)			
	C.	□ \	With Power o	f Attorney 🔲 With	nout Power of Attorney			
	d.	3	Signed staten	F INVENTOR(S) nent attached deleting in 1.63(d)(2) and 1.33(b)	nventor(s) named in the prior application,			
5.		The e	Incorporation By Reference (usable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.					
6.		CD R	OM or CD-R	in duplicate, large table	or Computer Program (Appendix)			
7.		, pp. 100 (000 0)						
8.		Nucle	otide and/or /	Amino Acid Sequence S	Submission (if applicable, all must be included)			
	a.	_ O	Computer Rea	adable Form (CFR)				
	b.		Specification S	Sequence Listing on:				
			i 🗆 CD-	ROM or CD-R (2 copies	s); or			
			ii. 🗌 Pap	er	<i>(</i>			
	C.		Statement(s)	/erifying Identical Pape	r and Computer Readable Copy			
				Accompany	ring Application Parts			
9.		Assigr	nment Papers	(cover sheet & docum	ent(s))			
10.		37 CFR 3.73(B) Statement (when there is an assignee)						
11.		Englis	h Translation	Document (if applicabl	e)			
12.		Information Disclosure Statement/PTO-1449						
13.		Preliminary Amendment						
14.	$\boxtimes$	Return Receipt Postcard (MPEP 503) (Should be specifically itemized)						
15.		Certified Copy of Priority Document(s) (if foreign priority is claimed)						
16.	×	Certific	cate of Mailin	g	,			
		□ F	irst Class	S Express Mail (Spec	cify Label No.): EL 993 167 521 US			
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## UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. CROL-156 (56290-103)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

		Accompanying Application Parts (Continued)
17.	×	Applicant claims small entity status. See 37 CFR 1.27.
		☐ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted:
18.		Additional Enclosures (please identify below):
	•	
		Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)
19.		Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.
	-	Warning
		An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.



## **UTILITY PATENT APPLICATION TRANSMITTAL** (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No. CROL-156 (56290-103)

Total Pages in this Submission



#### Fee Calculation and Transmittal

#### **CLAIMS AS FILED** For #Filed #Allowed #Extra Rate Fee **Total Claims** 23 - 20 = 3 \$9.00 \$27.00 Indep. Claims - 3 = 0 \$43.00 \$0.00 Multiple Dependent Claims (check if applicable) \$0.00 **BASIC FEE** \$385.00 OTHER FEE (specify purpose) \$0.00 **TOTAL FILING FEE** \$412.00 ☐ A check in the amount of to cover the filing fee is enclosed: ☑ The Director is hereby authorized to charge and credit Deposit Account No. 50–1133 as described below. Charge the amount of

\$412.00 Credit any overpayment.

as filing fee.

□ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.

☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance,

pursuant to 37 C.F.R. 1.311(b).

Dated: November 26, 2003

Signature

Mark G. Lappin, Reg. No. 26,618 McDermott, Will & Emery 28 State Street

Boston, MA 02109